

Assessing water management strategies under water scarcity in the Mexican portion of the Colorado River Basin

Astrid Hernández-Cruz¹, M.Sc.; Samuel Sandoval-Solís^{2*}, Ph.D.; Leopoldo G. Mendoza-Espinosa³, Ph.D.; Jorge Ramírez-Hernández⁴, Ph.D.; Josué Medellín-Azuara⁵, Ph.D.; Luis W. Daesslé⁶, Ph.D.

¹Instituto de Investigaciones Oceanológicas, Universidad Autónoma de Baja California, Ensenada, Baja California, México. Carretera Transpeninsular Ensenada - Tijuana No. 3917.

Email: astrid.hernandez.cruz@uabc.edu.mx

² Department of Land, Air and Water Resources, University of California at Davis, Davis, California, USA.

One Shields Avenue, Davis, CA 95616. Email: samsandoval@ucdavis.edu

³Instituto de Investigaciones Oceanológicas, Universidad Autónoma de Baja California, Ensenada, Baja California, México. Carretera Transpeninsular Ensenada - Tijuana No. 3917.

Email: lmendoza@uabc.edu.mx

⁴Instituto de Ingeniería, Universidad Autónoma de Baja California, Mexicali, Baja California, México. Calle de la Normal S/N and Blvd. Benito Juárez. Email: jorger@uabc.edu.mx

⁵Water Systems Management Lab, School of Engineering, University of California at Merced, Merced, California, USA. 5200 Lake Rd, Merced, CA 95343.

Email: jmedellin@ucmerced.edu

⁶Instituto de Investigaciones Oceanológicas, Universidad Autónoma de Baja California, Ensenada, Baja California, México. Carretera Transpeninsular Ensenada - Tijuana No. 3917. Email: walter@uabc.edu.mx

*Corresponding author:

Samuel Sandoval-Solís samsandoval@ucdavis.edu

24 **ABSTRACT**

25 The water management of the Colorado River is at a tipping point. This paper describes water management
26 strategies in the Mexican portion of the Colorado River Basin considering water scarcity scenarios. A water
27 allocation model was constructed representing current and future water demands and supply. The Colorado
28 River system in Mexican territory is used as a case study and all its water demands are characterized [Irrigation
29 District Rio Colorado (DR-014), Mexicali, San Luis Rio Colorado, Tecate, Tijuana-Rosarito, and Ensenada].
30 Individual strategies were run by subsystem and then their impact was analyzed systemwide. Performance
31 criteria and a performance-based sustainability index were evaluated to identify water stressors and
32 management strategies to improve water supply for agricultural, urban, and environmental users. Analysis of
33 results shows that the irrigation district (DR-014) is the most affected user due to water cuts since it has the
34 lowest priority and, thus, any reduction in Colorado River allocations affect them directly. A range of water
35 management strategies was investigated, including a no-action scenario. The current system depends on the
36 long-term aquifers overdraft to supply water demand. The reduction of the cultivated area was the strategy that
37 increased the sustainability index the most for DR-014. Agricultural to urban transfers, water use efficiency,
38 wastewater reuse, and desalination are prime possibilities to improve the current water supply in the coastal
39 zone (Tijuana, Rosarito, Ensenada). This research shows the spectrum of possible outcomes that could be
40 expected, ranging from systemwide effects of inaction to the implementation of a portfolio of water
41 management strategies.

42 **Keywords:** Colorado River, Mexico, water management, allocation model, water scarcity

43

44

45 INTRODUCTION

46 There is a growing crisis of freshwater availability throughout the world (Padikkal et al. 2018).
47 Accessible water resources are becoming more vulnerable due to increased pollution, uncontrolled
48 groundwater depletion, and climate change impacts on water availability patterns (Khan et al. 2017). Water
49 availability is under constant threat from increasing domestic, agricultural, and industrial demands. According
50 to the World Water Assessment Programme (WWAP, 2015), water demand is predicted to increase worldwide
51 by up to 55% by 2050. This prediction is based on the increment of the population from about 7.7 billion in
52 2019 to about 9.7 billion in 2050 and the subsequent increase in feed crops production to support animal-
53 protein diets, energy demand, and economic-industrial activity. Moreover, intensified competition over water
54 resources can increase water conflicts, which are predominant in transboundary waters (Padikkal et al. 2018).

55 Transboundary water resources are shared by over 70 % of the world's population and supply water for
56 about 60 % of worldwide food production (Earle and Neal 2017). There are more than 280 shared river basins
57 increasingly subject to water-related conflicts (United Nations 2018). Along the border region between the
58 United States (US) and Mexico, there are significant challenges including overallocation, rapid urbanization
59 and industrialization, surface and groundwater pollution, groundwater overdraft and climate uncertainties
60 (Wilder et al. 2010). The 3218 km boundary between the two countries comprises four states in the U.S
61 (California, Arizona, New Mexico, and Texas) and six in Mexico (Baja California, Sonora, Chihuahua,
62 Coahuila, Nuevo León, and Tamaulipas) (Wilder et al. 2019). Transboundary river basins along the border
63 include the Rio Grande - Bravo Basin (RGB), the Tijuana River Basin and the Colorado River Basin (CRB).
64 The Colorado River (CR) provides water to almost 40 million people in seven US. states, 2.7 million people
65 in two Mexican states (Baja California and Sonora), and 34 Native American Territories (Pulwarty and Maia
66 2015). The CR is a highly engineered system, with multiple reservoirs and enough storage capacity in Lakes
67 Mead and Powell to supply water demands for a four-year period when both of them were at full capacity

68 (Gerlak et al., 2021). However, in the last two decades, the river has been under great pressure from increasing
69 demands and prolonged droughts (Berggren 2018; Udall and Overpeck 2017). In July 2022, the reservoirs were
70 less than half capacity at its lowest historical level (Bureau of Reclamation 2022). Climate change and sustained
71 drought, population growth, management of the Colorado River Delta and stakeholder inclusion are some of
72 the main challenges of the CR basin (Juricich 2022).

73 In recent years, increased awareness of overallocation and drought has catalyzed collaboration between
74 the US and Mexico (Bussey 2019). The 1944 Water Treaty signed by both countries provided a water allocation
75 to Mexico of 1,850 million cubic meters per year (Mm^3/y) from the CR. The 1944 Treaty is a living document
76 and agreement, *Minutes* are the instrument by which the US and Mexico update the Treaty.

77 One of the latest amendments through Minute 323 (IBWC 2017) describes the Binational Water
78 Scarcity Contingency Plan (BWSCP) “to avoid reaching critical reservoir elevations at Lake Mead”, and
79 specifies two main concepts for both countries: water savings and mandatory water reductions. Water savings
80 is water that is stored and saved for later use for both countries to reduce Lake Mead releases under low
81 elevations; these water savings are recoverable once reservoir elevation conditions improve. Mandatory water
82 reduction is water that will be deducted from Mexico’s water allocation without recovering it later. Based on
83 the projected Lake Mead elevation by January 1, 2023, Mexico’s water allocation will be reduced by 128 Mm^3
84 in 2023, with a mandatory water reduction of 86 Mm^3 and recoverable water savings of 42 Mm^3 (CILA 2022).
85 Mexico will recover the water savings when the reservoir elevation in Lake Mead is projected to exceed 1,110
86 feet (335 meters) above sea level. The water reduction (128 Mm^3) represents 6.65% of Mexico’s total water
87 allocation ($1,850 \text{ Mm}^3$).

88 Minute 323 is not the only water shortage and saving plan for drought conditions in the CR basin. The
89 minute applies the principles of shared shortage and surplus by creating additions and reductions to Mexico in
90 proportion to the reductions outlined in the 2007 Interim Guidelines for the states of Arizona, California, and

91 Nevada (Bussey 2019; Secretary of the Interior 2007) and it is an ampliation of Minute 319 (CILA, 2012).
92 Moreover, in 2019, the Upper Basin and Lower Basin Drought Contingency Plans (DCPs) were signed. The
93 DCPs outline strategies to address the ongoing historic drought in the Colorado River Basin (Bureau of
94 Reclamation, 2023). The 2007 Guidelines, Minute 323 and DCPs, all expire in 2026 (Juricich 2022).

95 Moreover, Minute 323 also allows Mexico to temporarily store water in Lake Mead (Bussey 2019), and
96 establishes measures to address Mexico's concerns over water salinity, which has been a longstanding problem
97 since the enactment of Minute 242 in 1973. Moreover, both countries, in collaboration with a coalition of
98 environmental non-governmental organizations (NGOs), committed to fund and allocate water to the riparian
99 and estuarine system within the Colorado River Limitrophe and Delta. The US also agreed to provide Mexico
100 with \$31.5 million to develop conservation projects in Mexico, such as the modernization of irrigation districts,
101 the creation of wetlands, wastewater reuse projects, among others (IBWC 2017). Minute 323 also establishes
102 the Intentionally-Created Mexican Allocation (ICMA – Agua Mexicana Intencionalmente Creada) which is an
103 instrument that allows Mexico to defer delivery of water volumes through adjustments to its annual delivery
104 schedule, resulting from water conservation projects or new water sources projects. In this sense, Minute 323
105 has been criticized for setting a policy instrument that allows the US to exchange money (funding for
106 conservation, new water sources, and environmental projects) for water to fulfill Treaty obligations (Lewis
107 2019), considering the disproportionate difference in economic power between both nations.

108 In Mexico, Baja California is the main user of the Colorado River water. Surface water and groundwater
109 of the Mexicali Valley aquifer and the San Luis Rio Colorado (SLRC) Valley aquifer serve 2.7 million people
110 in Baja California representing 88% of the state's population, as well as more than 200,000 hectares in the
111 Irrigation District 014 (DR-014) (CEABC 2018). Due to water demand pressures and the modification of
112 Mexico's water allocation under Minute 323, there is a need to evaluate how the CRB in Mexico will respond

113 to these stressors considering the current water allocation policies, infrastructure, and alternative water
114 management strategies.

115 An important step towards decision support is the use of water planning models to estimate the
116 consequences of different management alternatives and their social and environmental implications (Reichert
117 et al. 2015). Recently, Hadjimichael et al. (2023) presented an intercomparison of models, highlighting the
118 limitations of large-scale hydrologic models and water systems models that emphasize the environmental,
119 infrastructural, and institutional characteristics. The study evaluates two such representative models to assess
120 water scarcity vulnerabilities in the Upper Colorado River Basin (Hadjimichael et al. 2023).

121 The Water Evaluation and Planning System (WEAP) platform has been used for water resources
122 management due to its integrated approach, user-friendly interface, and good compatibility (Kou et al. 2018;
123 Shi et al. 2015). In Mexico, the WEAP platform has been widely used, for instance, to quantify the vulnerability
124 of water resources in the Guayalejo-Tamesí River Basin (Sanchez et al. 2011) considering the effects of climate
125 change; in the transboundary RGB (Ingol-Blanco and McKinney 2011; Sandoval-Solis et al. 2013b) to evaluate
126 the current water allocation system and alternative water management scenarios; and in the CRB (Sanvicente-
127 Sánchez et al. 2009) to simulate the operational rules under water scarce conditions. However, this last study
128 did not evaluate any water management scenarios since the main objective of the study was to replicate the
129 Colorado River Simulation System (CRSS) (Bureau of Reclamation 2007) model and include the Mexican
130 portion of the CR. Therefore, there is a need to evaluate the recent water allocation and agreements (*e.g.*,
131 Minute 232), as well as alternative water management strategies that consider the effects of climate change
132 and preventing groundwater overdraft in the Mexican portion of the CR.

133 The overarching goal of the present research study is to evaluate the effect of current and future water
134 management strategies in the Colorado River system that is located in the Mexican territory. The research
135 question being: *In light of recent binational water agreements (e.g., Minute 323 and water allocation*

136 *reductions), climate change, and other stressors, how will the water supply for the different users be affected*
137 *when considering current and future water management strategies?* To address this question, the following
138 objectives were defined: (1) construct a water resources planning model, (2) define and evaluate future
139 availability and water management scenarios, and (3) identify key system stressors. The Mexican portion of
140 the CRB is used as a case study. This research shows the impacts not only in this region but also some
141 generalized water management strategies (e.g., reduction in water allocation or increased infrastructure
142 capacity) that can affect the overall water supply in limited water resources systems.

143 **STUDY AREA**

144 Northern Baja California and San Luis Río Colorado in Sonora are highly dependent on the Colorado
145 River, mostly from Mexico's water allocation from the 1944 Water Treaty and supplemental groundwater out
146 of the Mexicali Valley aquifer and the SLRC Valley aquifer (hereafter referred as Mexicali-SLRC groundwater
147 system), within the Colorado River Delta. Both, surface river water and groundwater are conveyed south
148 through 2,562 km of canals for Irrigation District 014 Rio Colorado (DR-014) that expands over Mexicali and
149 SLRC valleys, and to the west coast through the Colorado River-Tijuana aqueduct (CRTA) (Fig. 1). The study
150 region consists of two climate regions, separated by the peninsular mountain range. The western region,
151 adjacent to the Pacific Ocean, is considered a semiarid zone with a Mediterranean climate and annual average
152 precipitation range within 200-400 mm (CEABC, 2018). The eastern region, where Mexicali and SLRC are
153 located, is considered an arid desert receiving less than 100 mm of annual precipitation.

154 The onset of climate change in the Colorado River basin has altered rainfall and temperature patterns,
155 affecting water availability (Udall and Overpeck 2017). The total annual allocation of the Colorado River water
156 resources (2,633 Mm³) in the CRB corresponds to the sum of the surface water (1,850 Mm³), which
157 corresponds to the water right of the Treaty and is subject to reductions, and groundwater (783.12 Mm³) uses
158 (IMTA 2020). The main water user is DR-014 which receives 85% of the full water supply (surface and

159 groundwater) (IMTA 2020). For groundwater extraction, the district has 489 federal wells (volume allocated
160 by the federal government) and 220 privately owned wells. Although surface water is the focus topic in most
161 of the Lower Colorado River basin conversations and is linked to groundwater, they are not managed
162 conjunctively (Gerlak et al. 2021). The current cultivated area of DR-014 relies on groundwater overdraft from
163 Mexicali-SLRC groundwater system. Inefficiencies in irrigation infrastructure for agriculture constitute the
164 primary source of aquifer recharge in the groundwater system (CEABC 2017; CONAGUA 2020b; Lesser et
165 al. 2019). In addition, the recharge of the Mexicali Valley aquifer has been further reduced as result of the
166 lining of the AAC (Leeser et al. 2019). Moreover, mineralization of the shallow aquifer layers and soil
167 contamination process are identified in Mexicali Valley (Ramirez-Hernandez et al. 2008).

168 DR-014 is integrated by the Mexicali Valley in Baja California and San Luis Río Colorado Valley in
169 Sonora, and is the fourth largest irrigation district in Mexico, producing 3,078 tons of crops mainly wheat,
170 cotton, and alfalfa and worth \$435 million US dollars per year (CONAGUA 2016). However, given the
171 potential reductions of the water allocations related to Lake Mead elevation, salinity problems in water and
172 soil, and overexploitation of groundwater, the water supply of Mexican users are at risk. Despite the relevance
173 of DR-014 in the use of water from the Colorado River, its participation in binational water agreements has
174 been minimal, such as voicing their concerns when the All-American Canal (ACC) was lined (Cortéz Lara
175 2011).

176 The city of Mexicali is the state's capital and the second most populated in Baja California (Table 1).
177 Although Mexicali has a relatively secure supply due to water rights transfers (agricultural lands that become
178 urban transfer their irrigation permits to Mexicali), competition for water between the urban and agricultural
179 sectors could compromise its water supply in the near future. The city of Tecate is also supplied with water
180 from the CR through the CRTA. Tecate has experienced rapid urbanization, population growth, and
181 industrialization, which has compromised the quality of its local water resources. In the 2000s, groundwater

182 provided 30% of the drinking water for Tecate, while in 2015, it supplied only 20% (CEABC 2015). Pollution
183 due to low-quality industrial wastewater discharges into the Tecate River reduced such reliance on
184 groundwater, increasing the Tecate region dependence on imported CR water through the CRTA (Mahlknecht
185 et al. 2018).

186 Tijuana and Playas de Rosarito are highly dependent on the CR imports, since nearly 99% of their
187 available water comes from the CRTA whose current conveyance capacity is 5,333 l/s, and water demand is
188 expected to exceed supply capacity in a few years (CEABC 2018; Medellín-Azuara et al. 2009). Built as the
189 final receiving reservoir of the CRTA, the El Carrizo dam is the primary supply reservoir for the cities of
190 Tecate, Tijuana, and Playas de Rosarito (Malinowski 2004). El Carrizo provides 97% of Tijuana's water supply
191 (CEABC 2015). The Abelardo L. Rodríguez (ALZ) reservoir is used for flood control and is generally
192 considered an unreliable source (Malinowski 2004).

193 The city of Ensenada has experienced a considerable increase in population, groundwater overdraft,
194 seawater intrusion in aquifers, unreliability of water supply and institutional inefficiencies (Medellín-Azuara
195 et al. 2013). In addition to local groundwater supplies, Ensenada has a water allocation of 9 Mm³ from the
196 SLRC aquifer (Mesa Arenosa) since 1996 (REPDA, 2020) although, until recently, not all the allocated volume
197 was being used due to the high urban demand of Tijuana and Rosarito that partially use the allocation to
198 Ensenada. In 2015, the conversion of the Tijuana-La Misión-Ensenada (TLME) aqueduct (called inverse flux
199 or *flujo inverso* in Spanish, as it used to carry water from La Misión aquifer to Rosarito) made it possible to
200 import this water, at an average of 110 l/s (4 Mm³ annually), which is lower than the aqueduct's capacity of
201 300 l/s (CEABC, 2017). Ensenada is also supplied with desalinated water at 132-190 l/s (CEABC, 2021),
202 although the desalination plant capacity is 250 l/s (Private company: Aguas de Ensenada) and it is not fully
203 used due to operational limitations. The Emilio Lopez Zamora (ELZ) reservoir is used primarily for surface
204 water runoff collection.

The agricultural regions of the Guadalupe and Maneadero valleys, nearby the city of Ensenada, are economically important (Mendoza-Espinosa et al. 2019); the former being responsible for 90 % of Mexican wine production (Plata Caudillo 2010) with an annual gross income of \$6 million (CEABC, 2018). All water used in Guadalupe Valley comes from the underlying aquifer, which is in an overdrafted condition (Campos-Gaytan et al. 2014; CONAGUA 2020a). In Maneadero Valley, the main crops are ornamental flowers, tomato, cucumber, asparagus, and brussels sprouts. The Maneadero Valley relies primarily on groundwater from Maneadero aquifer that is experiencing seawater intrusion due to longstanding overdraft (Gilabert-Alarcón et al. 2018); reclaimed water (80 l/s) is used for ornamental flower production of 100 ha since 2014 (Mendoza-Espinosa and Daesslé, 2018).

DATA AND METHODS

Overall Method

The present study consisted of five major activities: (a) data compilation, (b) model development, calibration, and validation, (c) evaluation of individual water management strategies, (d) evaluation of meta-scenarios, which are combination of individual strategies, and (e) identification and evaluation of key system stressors, such as water allocation reduction, climate change, or reduction in irrigated area. A water resources planning model for the region was built in the WEAP platform (SEI 2020) to evaluate water management strategies and future strategies; it represents the water allocation system in northern Baja California and San Luis Rio Colorado, Sonora. Performance criteria were used to evaluate, compare, and synthesize results from water management strategies (Fig. 2).

Baja California Water Allocation Model

The Baja California water allocation model (Baja California WAM) represents the water management of the CR water resources in Mexico. The WEAP system simulated the water supply-demand for the study area. A 35-year period of hydrologic analysis was considered, from January 2015 to December 2050, according

229 to projections and action plans of the Baja California Water Plan (CEABC 2018). Years 2008-2013 were used
230 as a reference for model calibration (*i.e.* historical scenario); these years consider the diminished recharge of
231 the Mexicali Valley aquifer due to the 2008 lining of the All-American Canal (AAC) (Lesser et al. 2019),
232 which according to García, López, and Navarro (2009), contributed to 14% of the total recharge to the Mexicali
233 Valley aquifer (when unlined). Field evidence and modeling suggested continuous drawdown after the
234 conclusion of the lining in 2008, with a drop in the groundwater table of 5.8 m after 4 years of monitoring
235 (Lesser et al., 2019).

236 The input data consisted of water demands, surface water and groundwater hydrology, and water
237 resources infrastructure (see Fig. S1). Model outputs were water requirements, supply delivered, and aquifers
238 recharge and storage. The present study does not consider modifying reservoir operation rules, it considers that
239 water deliveries from the U.S. will follow the water demand requirements.

240 *Urban demands*

241 There are five urban service areas in Baja California WAM namely, Mexicali, SLRC, Tecate, Tijuana-
242 Rosarito, and Ensenada. WEAP allocates water using a priority system, where 1 represents the first priority.
243 For all urban areas the set priority was 1, consistent with the National Water Law (CONAGUA 2012). Urban
244 demands were estimated from data reported by the local operating agencies through the National Transparency
245 Portal (PNT) from 2008 to 2015, and the reports of management indicators (CEABC, 2015). Future water
246 demands for the cities were projected for 2050 using the water use per capita (WUPC) for each city and
247 populations projections by the National Population Council (CONAPO 2018). The local water agencies,
248 Tijuana Water Commission (Comisión Estatal de Servicios Públicos de Tijuana - CESPT), Tecate Water
249 Commission (Comisión Estatal de Servicios Públicos de Tecate - CESPTE), Ensenada Water Commission
250 (Comisión Estatal de Servicios Públicos de Ensenada-CESPE) and Mexicali Water Commission (Comisión

251 Estatal de Servicios Públicos de Mexicali-CESPM) reports provide water use for the residential, municipal,
252 commercial, and industrial sectors.

253 *Agricultural Demands*

254 Twenty-four agricultural service areas were considered into the model: Guadalupe Valley, Maneadero
255 Valley, and 22 demands for each module of the DR-014 (19 modules in Mexicali Valley, and 3 located in
256 SLRC Valley). A water use priority of 2 was assigned consistent with the National Water Law (CONAGUA
257 2012). Agricultural demands were estimated from annual reports (2008-2015) of irrigated area and water use
258 published by the Ministry of Agriculture (SIAP 2020) and the evapotranspiration estimates (2005-2008) of the
259 principal crops published by the National Institute for Forestry, Animal Husbandry, and Agricultural Research
260 of Mexico (INIFAP 2008). In DR-014, the three main crops are wheat, cotton, and alfalfa, which, in 2016
261 represented 83% of the total irrigated area (193,203 ha) (CONAGUA 2016). The share of surface and
262 groundwater use for each module was derived from the Water Distribution Reports (CONAGUA 2005). In
263 Guadalupe Valley, the main crops are grapes and olives, which represents 84% of the total area (2,528 ha). In
264 Maneadero Valley, the main crops are ornamental flowers, tomato, cucumber, asparagus, and brussels sprouts,
265 which represents 68% of the total area (2,855 ha). Guadalupe and Maneadero valleys are not water users of the
266 CRB, however they are closely related to Ensenada's water supply and participate in interconnected water
267 management strategies. Irrigation efficiencies (Sandoval-Solis et al. 2013a) and acreage factors (Lin et al.
268 2013) were considered in estimating the agricultural water demands.

269 *Hydrology and calibration*

270 Monthly surface water deliveries from the US to Mexico at the Northern International Boundary (NIB)
271 (Morelos Dam) and Southern International Boundary (SIB) were obtained from the International Boundary
272 and Water Commission (IBWC). Additionally, Canal Reforma transports the water from the NIB to the CRTA.
273 El Carrizo reservoir redistributes CRTA deliveries to Tecate and Tijuana-Rosarito, and then the water is

diverted to Ensenada through the TLME aqueduct (Fig. 1a). In terms of groundwater sources, the Mexicali-SLRC groundwater system was considered as a single groundwater system for planning purposes and given its close hydrologic connection (Ramírez-Hernández 2020; Sanchez and Rodriguez 2021). Groundwater extractions and aquifer recharge from irrigation were estimated and compared with CEABC(2017) and CONAGUA(2020b) that determined groundwater overdraft.

A mass balance approach to back calculate the groundwater use was applied to determine the change of aquifer storage (AS) (Eq. 1):

$$AS_t = Recharge_t - GW\ Extraction_t \quad (1)$$

$$Recharge_t = Recharge_t^{AgSW} + Recharge_t^{AgGW} + Recharge_t^{Conv\ Losses}$$

281

Where the change of storage (AS_t) is calculated by determining the $Recharge_t^{AgSW}$ refers to the aquifer recharge due irrigation losses from surface water use, $Recharge_t^{AgGW}$ refers to the aquifer recharge due irrigation losses from groundwater use, $Recharge_t^{Conv\ Losses}$ refers to the aquifer recharge due to conveyance losses in canals and $GW\ Extraction_t$ refers to the groundwater extraction volume.

In addition, the Guadalupe and Maneadero aquifers are also overdrafted (CONAGUA 2020c; a). Groundwater extractions and the aquifers recharge were estimated considering the annual recharge reported by CONAGUA (CONAGUA 2020c; a) and the extractions reported by CESPE water agency (CEABC 2015). The model was calibrated for a groundwater balance that considered estimated aquifer recharge and historic water demand to determine groundwater overdraft and compared it to other studies (CEABC 2017; CONAGUA 2020b). Goodness-of-fit coefficients, such as the Nash-Sutcliffe coefficient of efficiency (NSE) (Nash and Sutcliffe 1970) and the Willmott index of agreement (Willmott et al. 1985) were calculated.

293 **Water Management Scenarios**

294 The study area was divided in three subsystems: Subsystem I (SS1) comprising the DR-014, the
295 Colorado Delta and the cities of Mexicali and San Luis Rio Colorado; Subsystem II (SS2) comprising the cities
296 of Tecate and Tijuana-Rosarito; and Subsystem III (SS3) comprising by the city of Ensenada, and the
297 agricultural regions of Guadalupe Valley and Maneadero Valley. Table 2 summarizes the water management
298 strategies by sub-region that included strategies outlined in Minute 323 (IBWC, 2017), the Baja California
299 Water Plan (CEABC, 2018), and strategies discussed in regional forums of the Secretariat for the Management,
300 Sanitation and Protection of the Water (SEPROA) and Baja California and SLRC Basin Council (2020-2021).
301 Individual strategies were run by each subsystem and then their impact was analyzed systemwide. A baseline
302 scenario was defined as the reference scenario representing the system without any alternative management
303 strategy and considering that the water supply remains constant (2015-2050).

304 **Analysis of Water Management Scenarios**

305 Five performance criteria were considered for each water user to evaluate the impact of each water
306 management strategy: volumetric and time-based reliability, resiliency, vulnerability, and maximum deficit
307 (Hashimoto et al. 1982; McMahon et al. 2006). These criteria relate water demand and water supplied for a
308 given water user. Each performance criteria are expressed as a percentage between 0-100%; a non-failure state
309 is considered 100% for reliability (volumetric and time-based) and resiliency, while for vulnerability and the
310 maximum deficit criteria a non-failure state is 0% (Sandoval-Solis et al. 2011). Results for each water user
311 were summarized into a single value from 0 to 100% using the water resources sustainability index (SI^{User})
312 which is the geometric mean of the (five) performance criteria. The sustainability index (SI) facilitates
313 comparisons of performance among different water management strategies (Sandoval-Solis et al. 2011). The
314 sustainability index by subsystem (SI^{SS}) was used to summarize the results of all users of a given subsystem
315 into a single value, it is the weighted average of the SI values of individual users weighted by their water

316 demand. The sustainability index by subsystem allows the comparison among different water management
317 strategies and among subsystems. Definitions and procedures of performance criteria and SI are presented in
318 Loucks (1997) and Sandoval-Solis et al. (2011).

319 **RESULTS**

321 **Model Performance**

322 Model inputs are surface water allocation from the Colorado River, irrigated area by crop and module,
323 crop coefficients, reference evapotranspiration and irrigation efficiencies. The estimated water supply from
324 surface water and groundwater was compared against historical records to verify the model adequacy.
325 Goodness-of-fit criteria were used to compare the observed (historical) and predicted values by the model over
326 n time steps (Legates and McCabe Jr 1999). The Nash-Sutcliffe coefficient and the Willmott index of
327 agreement were 0.64 and 0.90 respectively, which are considered an acceptable performance (Moriasi et al.
328 2007). Overall, the surface and groundwater use for DR-014 (2008-2013) estimated in this study was 2,376
329 Mm^3/year , compared to CONAGUA's estimate of 2,479 Mm^3 , a difference of only 4%. In addition, the aquifer
330 recharge for the Mexicali-SLRC groundwater system estimated in this study (836.44 Mm^3/year) was broadly
331 consistent with the range reported by Lesser and associates for CONAGUA (2006) (902.6 Mm^3) and CEABC
332 (2017) (766.29 Mm^3). Estimates of aquifer overdraft is 102.54 Mm^3/year (2008-2013), which is in between
333 estimates from CEABC 2017 (132.27 Mm^3/year) (2006-2016) and CONAGUA 2020 (95.00 Mm^3/year).

334

335

336 **Analysis of Scenarios**

337 *Baseline scenario*

338 The baseline scenario was the system without the implementation of any alternative policies (e.g.,
339 Minute 323). The water deliveries from the Colorado River are maintained constant (**1,850 Mm^3**), and

873 agricultural management: A case study from the Valley of Santo Domingo, Mexico.” *J. Hydrol.*, 559:
 874 486–498. <https://doi.org/10.1016/J.JHYDROL.2018.02.050>.
 875

876

877 **Tables**

878

879 **Table 1.** Urban demands of Baja California

| | Mexicali | Tecate | Tijuana- Rosarito | Ensenada |
|--|--------------------------------|------------------------------------|--|---|
| Population ^a | 911,479 (28%) | 102,406 (3%) | 1,738,304 (54%) | 486,639 (15%) |
| Water Use Per Capita ^b (l/inhab/d) | 284 | 221 | 181 | 147 |
| Water Supplies ^b | Colorado River diversion | CRTA aqueduct Tecate Aquifer | CRTA aqueduct Tijuana and La Mision Aquifers | Ensenada, La Mision, Maneadero Aquifers Desalinization Colorado River diversion |

880 ^aBased on INEGI (2015)

881 ^bBased on CEABC (2017)

882

883

Table 2. Water management scenarios description

| Subsystem | Scenario | Baseline Value ¹ | Scenario Value | Source |
|-----------|---|---|--|---|
| SS1 | Reduction in Colorado River deliveries | Water allocation: 1850 Mm ³ | -55 to -339 Mm ³ | Minute 323 (IBWC 2017) |
| | Increase in crop evapotranspiration ET _C (m/year) due climate change | Wheat _{ETc} : 0.57 | B1 ¹ :0.5898-0.5918 A2 ² :0.5872-0.5928 | Based on García-Ávila (2012) |
| | | Alfalfa _{ETc} : 1.93 | B1: 2.0786-2.1034 A2: 2.0864-2.1067 | |
| | | Cotton _{ETc} : 1.14 | B1:1.1675-1.1832 A2:1.1705-1.1840 | |
| | | Others _{ETc} : 1.19 | B1:1.2501-1.2644 A2:1.2530-1.2661 | |
| | All-American Canal (AAC) lining | Contribution of 0% in the aquifer recharge | Contribution of 14% in the aquifer recharge | Based on García-Saillé (2009) |
| | Application efficiency (E _a) increase | E _a : 65% | E _a : Increases from 2.5-10% | Percentages proposed by the authors in compliance with CEABC plan (2018) and the municipality of San Luis Río Colorado Sonora Basin Council (2020-2021) citizen consultation. |
| | Irrigated area reduction | Total irrigated area: 192,214 ha | Decreases from 2.5-10% | Percentages proposed by the authors in compliance with CEABC plan (2018) |
| | Reduction in alfalfa irrigated area | Alfalfa irrigated area:34,598 ha | Decreases from 2.5-10% | Percentages proposed by the authors in compliance with forage crops reduction CEABC plan (2018) |
| | Increase in water distribution network efficiency (E _n) | E _n : 83% | Increases from 2.5-10% in Mexicali | Percentages proposed by the authors |
| | Environmental water (Delta) | Environmental water allocation: 0 Mm ³ | Environmental water allocation: 27.5 Mm ³ | Minute 323 (IBWC 2017) |
| SS2 | Increase CRTA capacity | Capacity: 5333l/s | Increases from 2.5-10% | Percentages proposed by the authors |
| | Rehabilitation of Tijuana aquifer wells | Use of 0 l/s | Use of 270 l/s | SEPROA (2021) |
| | Increase in water distribution network efficiency (E _n) | E _n : 80% | Increases from 2.5-10% | Percentages proposed by the authors in compliance with the Baja California and the municipality of San Luis Río Colorado Sonora Basin Council (2020-2021) citizen consultation. |
| SS3 | Full allocation from the Colorado River | Ensenada receives 116 l/s | Ensenada receives 285 l/s | Strategy proposed in compliance with REPDA water rights (2020) |
| | Seawater desalination | Use of 100 l/s | Use of 250 l/s | Strategy proposed by the authors |
| | Increase in recycled water use in Maneadero Valley | Use of 80 l/s | Use of 200 l/s | SEPROA (2021) |
| | Use of recycled water in Guadalupe Valley | Use of 0 l/s from Tijuana WWTP | Use of 1000 l/s from Tijuana WWTP | Baja California state government plan as cited in Mendoza-Espinosa et al. (2019) |
| | Increase in water distribution network efficiency (E _n) | E _n : 83% | Increases from 2.5-10% | Percentages proposed by the authors in compliance with the Baja California and the municipality of San Luis Río Colorado Sonora Basin Council (2020-2021) citizen consultation. |

885 ¹B1: Low emission scenario; ²A2: High emission scenario

886
887
888

898
899
900
901
902
903
904
905
906
907
908
909
910
911
912
913

Table 3. Average annual water demand and sustainability index for water users in the baseline scenario.

| Water User | | Demand (Mm ³) | Sustainability Index (%) | |
|------------|-----------------|---------------------------|--------------------------|---------------|
| | | | Overdraft | Non-overdraft |
| SS1 | DR-014 | 2362 | 66 | 18 |
| | Mexicali | 136 | 100 | 100 |
| | SLRC | 28 | 100 | 100 |
| | Delta | 27 | 100 | 100 |
| | Subtotal | 2553 | 68 | 24 |
| SS2 | Tecate | 11 | 33 | 32 |
| | Tijuana | 150 | 32 | 32 |
| | Subtotal | 161 | 33 | 33 |
| SS3 | Ensenada | 25 | 37 | 24 |
| | Guadalupe V. | 19 | 42 | 32 |
| | Maneadero V. | 20 | 43 | 33 |
| | Subtotal | 64 | 49 | 30 |

Table 4. Evaluation of water management scenarios by subsystem

| Scenario | | Sustainability Index (%) | |
|----------|--|--------------------------|---------------|
| | | Overdraft | Non-overdraft |
| SS1 | Baseline | 68 | 24 |
| | Reduction in Colorado River deliveries | 43 | 19 |
| | Increase in crop evapotranspiration (ET _C) | 55 | 17 |
| | Contribution of the AAC in the aquifer recharge | 77 | 30 |
| | Environmental water (Delta) | 60 | 24 |
| | Cultivated area reduction | 94 | 62 |
| | Reduction in alfalfa production | 65 | 18 |
| | Increase in application efficiency | 63 | 17 |
| | Increase in water distribution network efficiency | 66 | 18 |
| SS2 | Baseline | 33 | 33 |
| | Increased CRTA capacity | 47 | 47 |
| | Rehabilitation of Tijuana aquifer wells | 46 | 46 |
| | Increase in water distribution network efficiency | 75 | 75 |
| SS3 | Baseline | 49 | 30 |
| | Full allocation from the Colorado River | 72 | 57 |
| | Seawater desalination | 72 | 54 |
| | Increase in water distribution network efficiency | 65 | 42 |
| | Increase in recycled water use in Maneadero Valley | 52 | 42 |
| | Use of recycled water in Guadalupe Valley | 61 | 42 |

916 **Table A1.** Performance criteria of water management scenarios

917

| | | Performance Criteria (%) | | | | | |
|----------|---|--------------------------|----|------------|---------------|--------------|-----------------|
| | | Reliability | | Resilience | Vulnerability | Max. Deficit | Sust. Index (%) |
| Scenario | | V | T | | | | |
| | | Overdraft | | | | | |
| SS1 | Baseline | 95 | 39 | 6 | 11 | 31 | 68 |
| | Reduction in Colorado River deliveries | 83 | 18 | 6 | 23 | 47 | 48 |
| | Rise in crop evapotranspiration (ETc) | 92 | 27 | 6 | 13 | 33 | 55 |
| | Environmental water (Delta) | 97 | 39 | 6 | 12 | 33 | 60 |
| | Irrigated area reduction | 100 | 94 | 94 | 1 | 1 | 94 |
| | Reduction in alfalfa production | 96 | 44 | | 10 | 30 | 65 |
| | Increase in application efficiency | 95 | 39 | 6 | 11 | 30 | 63 |
| | Increase in water distribution network efficiency | 96 | 39 | 6 | 11 | 30 | 66 |
| SS2 | Baseline | 87 | 27 | 4 | 10 | 29 | 33 |
| | Increase in ARCT capacity | 95 | 53 | 6 | 4 | 21 | 47 |
| | Rehabilitation of Tijuana aquifer wells | 94 | 50 | 7 | 5 | 22 | 46 |
| | Increase in water distribution network efficiency | 93 | 47 | 12 | 6 | 22 | 75 |
| SS3 | Baseline | 85 | 41 | 15 | 12 | 31 | 49 |
| | Full allocation from the Colorado River | 92 | 74 | 42 | 6 | 18 | 72 |
| | Seawater desalination | 92 | 73 | 41 | 6 | 12 | 72 |
| | Increase in water distribution network efficiency | 91 | 41 | 15 | 6 | 13 | 65 |
| | Reuse expansion in Maneadero Valley | 87 | 73 | 41 | 10 | 18 | 52 |
| | Wastewater reuse in Guadalupe Valley | 87 | 55 | 38 | 10 | 27 | 61 |
| | | Non-overdraft | | | | | |
| SS1 | Baseline | 85 | 1 | 1 | 13 | 36 | 24 |
| | Reduction in Colorado River deliveries | 73 | 1 | 1 | 25 | 51 | 15 |
| | Rise in crop evapotranspiration (ETc) | 82 | 1 | 1 | 16 | 38 | 17 |
| | Environmental water (Delta) | 92 | 7 | 1 | 10 | 28 | 24 |
| | Irrigated area reduction | 91 | 31 | 1 | 9 | 33 | 62 |
| | Reduction in alfalfa production | 86 | 1 | 1 | 12 | 36 | 18 |
| | Increase in application efficiency | 83 | 1 | 1 | 14 | 39 | 17 |
| | Increase in water distribution network efficiency | 86 | 1 | 1 | 11 | 33 | 18 |
| SS2 | Baseline | 87 | 27 | 4 | 10 | 29 | 33 |
| | Increase in ARCT capacity | 95 | 53 | 6 | 4 | 21 | 47 |
| | Rehabilitation of Tijuana aquifer wells | 94 | 50 | 7 | 5 | 22 | 46 |
| | Increase in water distribution network efficiency | 93 | 47 | 12 | 6 | 22 | 75 |
| SS3 | Baseline | 83 | 15 | 12 | 15 | 32 | 30 |
| | Full allocation from the Colorado River | 84 | 51 | 21 | 13 | 24 | 57 |
| | Seawater desalination | 84 | 48 | 18 | 17 | 27 | 54 |
| | Increase in water distribution network efficiency | 89 | 42 | 11 | 15 | 20 | 42 |
| | Reuse expansion in Maneadero Valley | 84 | 25 | 11 | 12 | 30 | 42 |
| | Wastewater reuse in Guadalupe Valley | 84 | 25 | 11 | 16 | 20 | 42 |

918

919

Figure Captions

Fig. 1. a) Location map of the Colorado River system in Mexico. b) Simplified Distribution of the Colorado River System deliveries in Mexico

Fig. 2. Mexican portion of the Colorado River Basin Study Design

Fig. 3. Subsystems overall sustainability index. SI is the arithmetic average of five performance criteria namely: Volumetric Reliability (R_v), Time Reliability (R_t), Resilience (R_e), Vulnerability (V), and Maximum Deficit (D_{max})

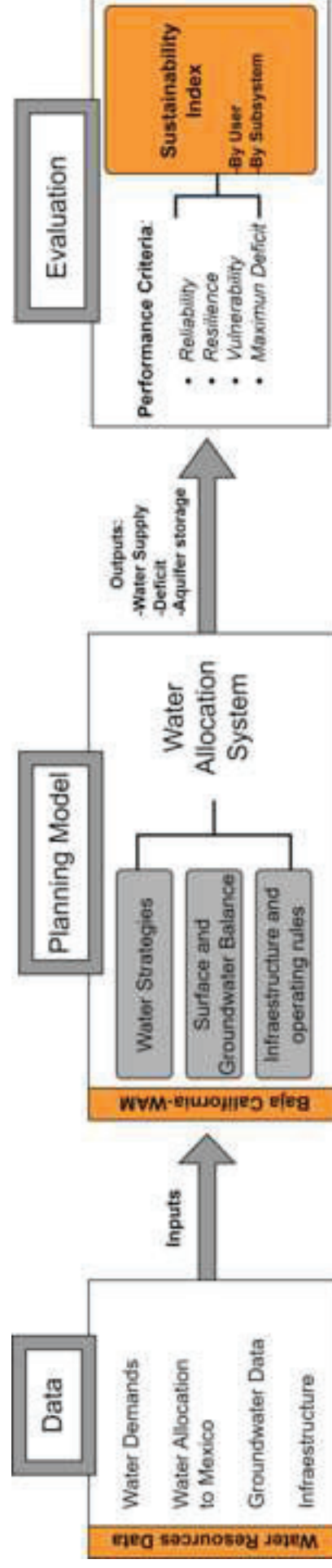
Fig. 4. Sustainability Index for SS1 associated with Colorado River water supply reductions to Mexico (O: Overdraft, N-O: Non-overdraft)

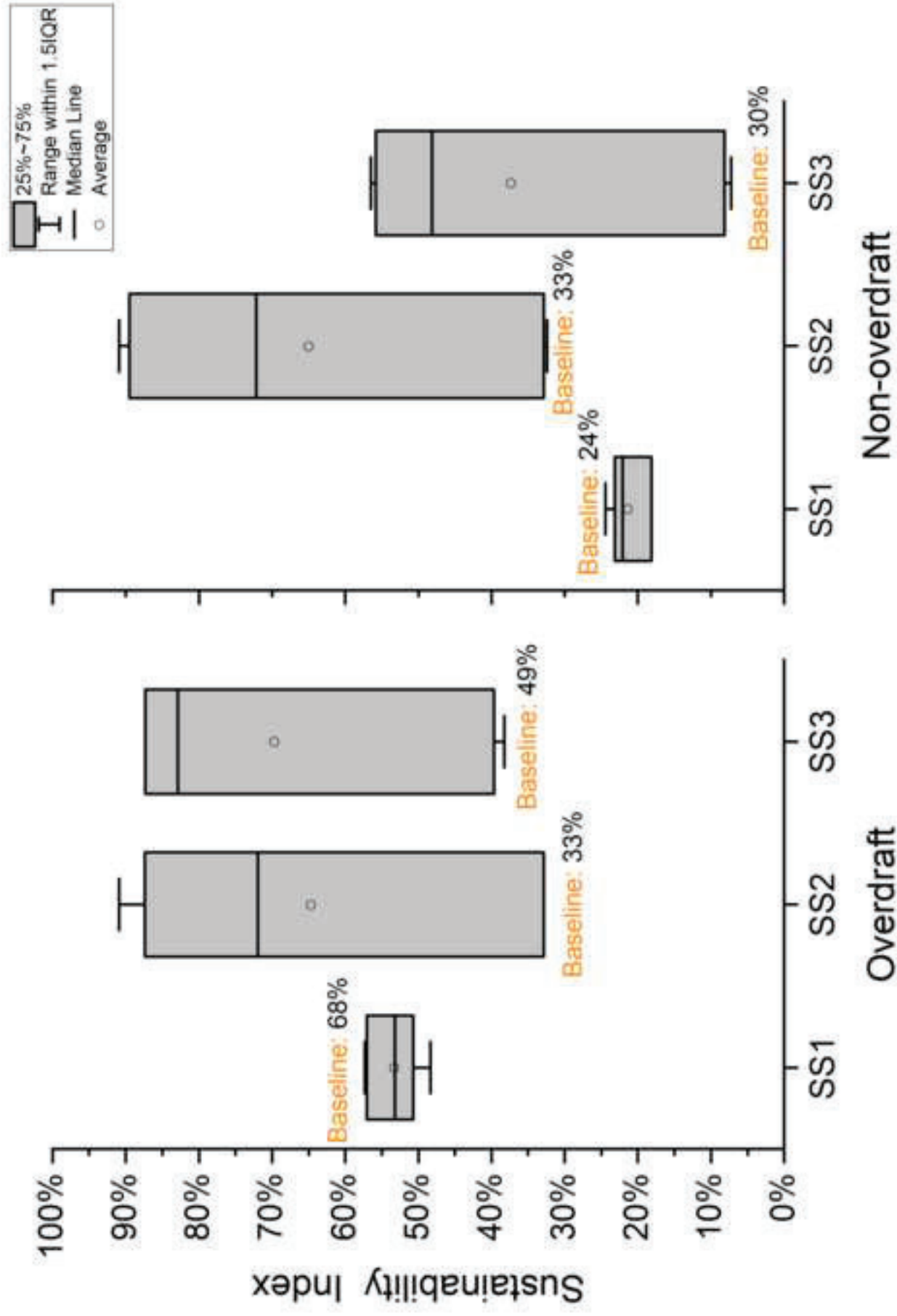
Fig. 5. Volumetric Reliability associated with Colorado River water supply reductions to Mexico (O: Overdraft, N-O: Non-overdraft)

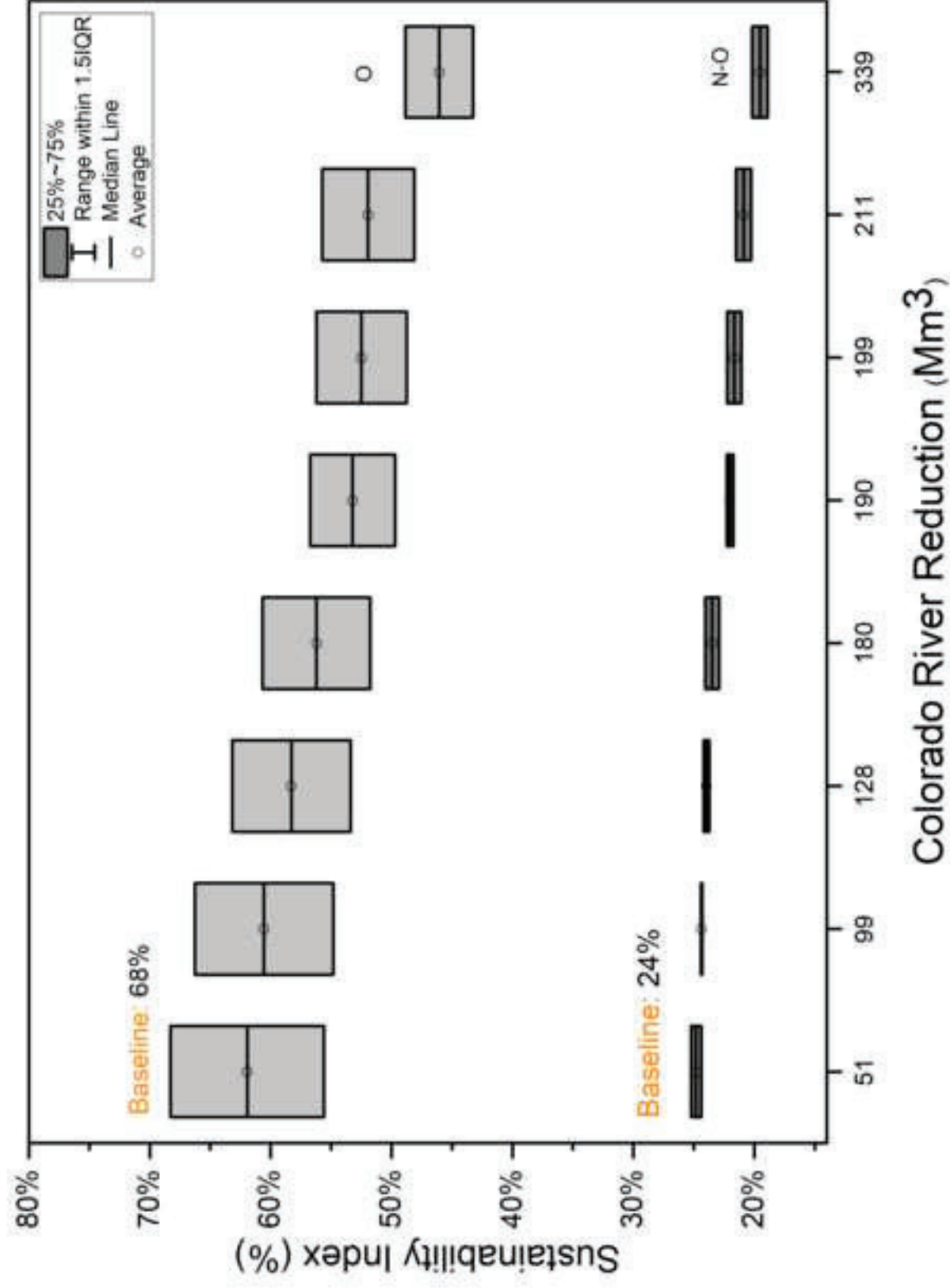
Fig. 6. Percentage of Irrigated area supplied with Colorado River water supply reductions to Mexico (O: Overdraft, N-O: Non-overdraft)

Fig. 7. Sustainability Index for SS2 associated with change in water distribution efficiency.

Fig. 8. Sustainability Index for SS3 associated with full allocation from the Colorado River and full capacity of seawater desalination







Figure_5

